

Applicant Name: _____

	Country of Citizenship/Nationality	Country of Tax Residence	Country of Birth	Tax Identification no.* (TIN)	Identification Type	Source of Wealth
First Holder						<input type="checkbox"/> Salary <input type="checkbox"/> Gift <input type="checkbox"/> Royalty <input type="checkbox"/> Prize Money <input type="checkbox"/> Business <input type="checkbox"/> Rental Income <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Others
Second Holder						<input type="checkbox"/> Salary <input type="checkbox"/> Gift <input type="checkbox"/> Royalty <input type="checkbox"/> Prize Money <input type="checkbox"/> Business <input type="checkbox"/> Rental Income <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Others

*It is mandatory to supply a TIN or functional equivalent to TIN (in case TIN not available) as per the OECD guidelines issued by the country in which you are tax resident. If no TIN /functional equivalent is yet available or has not yet been issued, please provide an explanation _____

Customer Declaration (Applicable to all customers):

I / We hereby declare, agree, and confirm the following:

- a) The details furnished above are true to the best of my knowledge and belief and shall undertake to inform Bajaj Financial Securities Limited (BFSL) within 30 days, in case of any change in the above given status including my citizenship, nationality or tax residence on a future date.
- b) If I/We /are U.S. person or tax resident of a reportable foreign jurisdiction (other than U.S.), my account details, would be reported by BFSL to the relevant the Government Agencies / Tax authorities / Regulators / Exchanges / Depositories of India or of any country other than India as per various regulations laid down by the authorities time to time.
- c) I/We acknowledge that towards compliance with tax information sharing laws, such as FATCA/CRS, the BFSL may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of account opening or any time subsequently.
- d) If my / our Country of Birth is U.S., however, I / We declare that I / We are not U.S. Person, I / We shall provide a certificate of relinquishment of citizenship (Loss of nationality) OR a self-certification stating reasons for not having such a certificate despite relinquishing U.S. citizenship OR not obtaining U.S. citizenship at birth.
- e) I/We certify that the information provided above is in accordance with Section 285BA of the Income Tax Act, 1961 read with Rules 114F to 114H of the Income Tax Rules, 1962, including the taxpayer identification number / functional equivalent number. I am further aware that as per the Union Budget, 2023, a penalty of Rs. 5,000 per account holder under section 271FAA of the Income Tax Act,1961 shall be levied for furnishing inaccurate statement of financial transaction owing to false or inaccurate self-certification submitted by me /us under FATCA/CRS.

Date:

Place:

(First/Sole Holder Signature)

(Second holder Signature)

Note:

1. U.S. Person means,
 - a. An individual, being a citizen or resident of the United States of America;
 - b. A partnership or corporation organized in the United States of America or under the laws of the United States of America or any State thereof;
 - c. A trust if –
 - i. A court within the United States of America would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust; and
 - ii. One or more U.S. persons have the authority to control all substantial decisions of the trust; or
 - d. An estate of a decedent who was a citizen or resident of the United States of America.

2. Functional Equivalent of TIN:

Many countries do not issue TIN to their taxpayers. However, such countries issue some other high integrity number with an equivalent level of identification (a functional equivalent). Examples of such numbers are-

- a. Social Security Number
- b. National Insurance Number
- c. Citizen or Personal Identification Code or Number
- d. Resident Registration Number