

Applicant Name: _____

PART I	A.	Is the account holder a Government body/International Organization/listed company on recognized stock Exchange: If "No", then proceed to point B. If "yes" please specify name of stock Exchange, if you are listed company _____ and proceed to sign the declaration.	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	B.	Is the account holder a (Entity/Financial Institution) tax resident of any country other than India : If "yes", then please fill of FATCA/ CRS Self certification Form. If "No", proceed to point C.	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	C.	Is the account holder an Indian Financial Institution: If "yes", please provide your Global Intermediary Identification Number (GIIN), if any _____ If "No", proceed to point D.	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	D.	Are the Substantial owners or controlling persons in the entity or chain of ownership resident for tax purpose in any country outside India or not an Indian citizen: If "yes", (then please fill FATCA/CRS self-certification form). If "No", proceed to sign the declaration.	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Customer Declaration (Applicable to all customers):


I / We hereby declare, agree, and confirm the following:

- a) The details furnished above are true to the best of my/our knowledge and belief and shall undertake to inform Bajaj Financial Securities Limited (BFSL) within 30 days, in case of any change in the above given status.
- b) If I /We agree that if we are a Specified U.S. person or tax resident of a reportable foreign jurisdiction (other than U.S.), or an entity with US Persons / foreign tax residents UBO requiring under FATCA/CRS or any other laws, our account details, would be reported by BFSL to the relevant the Government Agencies / Tax authorities / Regulators / Exchanges / Depositories of India or of any country other than India as per various regulations laid down by the authorities time to time.
- c) I/We acknowledge that towards compliance with tax information sharing laws, such as FATCA/CRS, the BFSL may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of account opening or any time subsequently.
- d) I/We certify that the information provided above is in accordance with Section 285BA of the Income Tax Act, 1961 read with Rules 114F to 114H of the Income Tax Rules, 1962, including the taxpayer identification number / functional equivalent number. I/we am/are further aware that as per the Union Budget, 2023, a penalty of Rs. 5,000 per account holder under section 271FAA of the Income Tax Act,1961 shall be levied for furnishing inaccurate statement of financial transaction owing to false or inaccurate self-certification submitted by me/us under FATCA/CRS.

Name of Applicant: _____

Date:

D	D	M	M	Y	Y	Y	Y
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_____**Signature 1**_____
_____**Name and Company Seal (if applicable)**



Place: _____

PART II	Self-Certification Form (Entity) for Foreign Account Tax Compliance Act ("FATCA") and Common Reporting Standards (CRS)
Section 1	Entity Information
Name of Entity: _____	
Customer ID (if existing): _____ Entity Constitution Type: _____	
Entity Identification Type (Please tick one):	
<input type="checkbox"/> Tax Identification Number (TIN) <input type="checkbox"/> US GIIN <input type="checkbox"/> Company Identification Number	
<input type="checkbox"/> Global Entity Identification Number (EIN) <input type="checkbox"/> Other (Please specify): _____	
Entity Identification No.: _____ Entity Identification Issuing Country: _____	
Country of Residence for tax purpose: _____	
Section 2	Classification on Non-Financial Entities
I/We (on behalf of the entity) certify that the entity is:	
a. An entity incorporated and taxable in US (Specified US person): <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes", please provide your U.S. Taxpayer Identification Number (TIN): _____	
b. An entity incorporated and taxable outside of India (other than US): <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes", please provide your TIN or its functional equivalent _____ Provide your TIN issuing country _____	
c. Please provide the following additional details if you are not a Specified US Person (Please tick one): FATCA/CRS classification for Non-financial entities (NFFE) <input type="checkbox"/> Active NFFE <input type="checkbox"/> Passive NFFE without any controlling Person Passive NFFE with Controlling Person(s): <input type="checkbox"/> US <input type="checkbox"/> Others Direct Reporting NFFE (Choose this if any entity has registered itself for direct reporting for FATCA and thus Bajaj Financial Securities Limited is not required to do the reporting). Please provide GIIN Number: _____	
Section 3	Classification of financial institutions (including Banks)
I/We (on behalf of the entity) certify that the entity is:	
a. An entity is a U.S. financial institution: <input type="checkbox"/> Yes <input type="checkbox"/> No i. Please provide your U.S. Taxpayer Identification Number (TIN) _____ ii. Please provide GIIN, if any: _____ If "No", please tick one of the following boxes below:	
FATCA classification	Please provide the Global Intermediary Identification number (GIIN) or other information where
i. Reporting Foreign Financial Institution in a Model 1 Inter-Governmental Agreement ("IGA") Jurisdiction	
ii. Reporting Foreign Financial Institution in a Model 2 IGA Jurisdiction	
iii. Participating FFI in a Non-IGA Jurisdiction	
iv. Non-reporting FI	
v. Non-Participating FI	
vi. Owner-Documented FI with specified US owners	
Section 4	Controlling person declaration
If you are classified as "Passive NFFE with Controlling Person(s)" or "Owner documented FFI" or "Specified US person", please provide the following details:	

Name of controlling person	Correspondence Address	Country of Residence for tax purpose	TIN	TIN Issuing Country	Controlling Person Type

Details	Controlling Person 1	Controlling Person 2	Controlling Person 3	Controlling Person 4	Controlling Person 5
Identification Type					
Identification Number					
Occupation Type					
Occupation					
Birth Date					
Nationality					
Country of Birth					

*It is mandatory to supply a TIN or functional equivalent to TIN (in case TIN not available) as per the OECD guidelines issued by the country in which the applicant is tax resident. If no TIN /functional equivalent is yet available or has not yet been issued, please provide an explanation

Section 5	Declaration:
<p>I / We hereby declare, agree, and confirm the following:</p> <p>a) The details furnished above are true to the best of my/our knowledge and belief and shall undertake to inform Bajaj Financial Securities Limited (BFSL) within 30 days, in case of any change in the above given status.</p> <p>b) If I /we agree the if we are a Specified U.S. person or tax resident of a reportable foreign jurisdiction (other than U.S.), or an entity with US Persons / foreign tax residents UBO requiring under FATCA/CRS or any other laws, our account details, would be reported by BFSL to the relevant the Government Agencies / Tax authorities / Regulators / Exchanges / Depositories of India or of any country other than India as per various regulations laid down by the authorities time to time.</p> <p>c) I/We acknowledge that towards compliance with tax information sharing laws, such as FATCA/CRS, the BFSL may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of account opening or any time subsequently.</p> <p>d) I/we certify the information provided above is in accordance with Section 285BA of the Income Tax Act, 1961 read with Rules 114F to 114H of the Income Tax Rules, 1962, including the taxpayer identification number / functional equivalent number. I/we am/are further aware that as per the Union Budget, 2023, a penalty of Rs. 5,000 per account holder under section 271FAA of the Income Tax Act,1961 shall be levied for furnishing inaccurate statement of financial transaction owing to false or inaccurate self-certification submitted by me/us under FATCA/CRS.</p>	
Date: <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>	<div style="display: flex; justify-content: space-around;"> <div style="text-align: center;">  Signature </div> <div style="text-align: center;">  Name and Company Seal (if applicable) </div> </div>
Place: _____	