

## Dos and Don'ts - Advisory for Investors

DOs	DON'Ts
<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Deal only with registered intermediaries.</li> <li><input checked="" type="checkbox"/> Make payments only through the banking channel and issue cheques in favour of the stockbroker only. Verify the bank account details from the stockbrokers <a href="#">website</a>.</li> <li><input checked="" type="checkbox"/> Only rely on information obtained from our official communication channels, which include our website, official social media accounts, and direct emails from our domain. If you encounter any channel or communication that raises suspicion, please report it to us immediately.</li> <li><input checked="" type="checkbox"/> Register your mobile number and email ID in your trading, demat and bank accounts to get regular alerts on your transactions.</li> <li><input checked="" type="checkbox"/> Check messages sent by Exchanges on a weekly basis regarding funds / securities / commodities balances reported by the stock broker and immediately raise a concern if you notice a discrepancy.</li> <li><input checked="" type="checkbox"/> Trade in derivatives with caution and only if you are familiar with the product and risk involved. Trade in options only if you have relevant trading experience and high-risk tolerance.</li> <li><input checked="" type="checkbox"/> Verify details of trades, contract notes and statement of account and approach relevant authority for any discrepancies.</li> <li><input checked="" type="checkbox"/> If you observe discrepancies in your account or settlements, take it up in writing with your stockbroker within 7 (seven) working days from date of receipt.</li> <li><input checked="" type="checkbox"/> In case of any grievances, approach stockbroker or Stock Exchange or SEBI for getting the same resolved within prescribed timelines.</li> <li><input checked="" type="checkbox"/> Retain documents for trading activity as it helps in resolving disputes, if they arise.</li> </ul>	<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Do not share passwords (internet account) with anyone. It is like sharing your safe key.</li> <li><input checked="" type="checkbox"/> Do not deal with unregistered stock brokers.</li> <li><input checked="" type="checkbox"/> Do not fall prey to fixed / guaranteed returns' schemes.</li> <li><input checked="" type="checkbox"/> Do not fall prey to fraudsters sending emails and SMSs luring to trade in stocks/ Securities promising huge profits.</li> <li><input checked="" type="checkbox"/> Do not get lured by claims of huge returns on investments based on certain strategies by back testing and claims that similar returns would be earned in the future.</li> <li><input checked="" type="checkbox"/> Do not forget to inform of any change in information linked to your trading account and obtain confirmation of updation in the system.</li> <li><input checked="" type="checkbox"/> Do not transfer your funds to anyone other than the stockbroker. No payment should be made in the name of employees of the stockbroker.</li> <li><input checked="" type="checkbox"/> Do not ignore any emails / SMSs received with regards to trades done, from the Stock Exchange and raise a concern, if you observe any discrepancies.</li> <li><input checked="" type="checkbox"/> Do not follow herd mentality for investments. Seek expert and professional advice for your investments</li> </ul>